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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/964,272 | 09/25/2001 | Michael P. Lyle | RECOP018 |

12
RITTER VAN PELT & YI, L.L.P.
4906 EL CAMINO REAL
SUITE 205
LOS ALTOS, CA 94022

COPY OF PAPERS
ORIGINALLY FILED

CONFIRMATION NO. 9955

FORMALITIES LETTER



OC000000007011132

Date Mailed: 11/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$178.
 - \$18 for 1 total claims over 20.
 - ~~\$160~~ for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1018.

740
\$18
168
130

+110 late fee
\$1166.00

A copy of this notice **MUST** be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 HMARZ11 00000018 09964272

740.00 BP
18.00 BP
168.00 BP
130.00 BP

01 FC:101
02 FC:103
03 FC:102
04 FC:105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on January 25, 2002 in an envelope as First Class Mail, addressed to: Assistant Commissioner for Patents, Washington, DC 20231

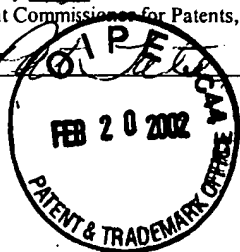
Attorney Docket No.: RECOP018

First Named Inventor:

LYLE, et al

Application No. 09/964,272

Pat Date



COPY OF PAPERS
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RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:

MICHAEL P. LYLE

DAN VEKHTER

BRANDON SUZUKI

For: **SYSTEM AND METHOD FOR ANALYZING PROTOCOL STREAMS FOR A SECURITY-RELATED EVENT**

Application Elements:



Declaration



Newly executed (original or copy)



Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.



Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:



Information Disclosure Statement with Form PTO-1449



Copies of IDS Citations



Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)



Return Receipt Postcard



Verified Statement Claiming Small Entity Status filed in prior application. Status still proper and

desired.

ATTY DOCKET NO. RECOP018

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05 FC:115

110.00 DP

☐ Other:

Amendments

☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee. (At least one original independent claim must be retained.)

Fee Calculation (37 CFR § 1.16)

| | (Col. 1) <u>NO. FILED</u> | (Col. 2) <u>NO. EXTRA</u> | <u>RATE</u> | <u>SMALL ENTITY FEE</u> | <u>OR</u> | <u>LARGE ENTITY RATE</u> | <u>FEE</u> |
|---|------------------------------|------------------------------|-------------|-------------------------|-----------|--------------------------|------------|
| BASIC FEE | | | | \$355 \$ | OR | \$710 \$ | |
| TOTAL CLAIMS | _____ -20 = _____ | | | x9 = \$ | OR | x18 = \$ | |
| INDEP CLAIMS | _____ -03 = _____ | | | x40 = \$ | OR | x80 = \$ | |
| [] Multiple Dependent Claim Presented | | | | \$130 = \$ | OR | \$260 = \$ | |
| * If the difference in Col. 1 is less than zero, enter "0" in Col. 2. | | | | Total \$ | OR | Total \$ | |

☒ Applicants petition for a one (1) month extension of time to respond under 37 CFR § 1.136(a).

☒ Check No. 415 in the amount of \$1166.00 is enclosed.

General Authorizations

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0685 (Order No. RECOP018).

☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. RECOP018).

☒ Please send correspondence to the following address:

Customer No. 21912
VAN PELT & YI LLP
4906 El Camino Real, Suite 205
Los Altos, CA 94022

Date: 1/25/02

William J. James
William J. James
Reg. No. 40,661